

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Nicholas Stamos, Seth N. Birnbaum, Tomas Revesz, Jr., Donato Buccella, Keith A. MacDonald, Dwayne A. Carson and William E. Fletcher

Application No.: 10/716,336

Group: 2131

Filed: November 18, 2003

Examiner: Not Assigned

Confirmation No.: 5223

For: DIGITAL ASSET USAGE ACCOUNTABILITY VIA EVENT JOURNALING

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:

10/25/04 Nadine Z. Kush

Date

Signature

Nadine Z. Kush

Typed or printed name of person signing certificate

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Preliminary Amendment for filing in the above-identified application.

Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.

A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

| | (COL. 1) | (COL. 2) | (COL. 3) | SMALL ENTITY | OTHER THAN SMALL ENTITY |
|--|---|----------|---------------------------------------|------------------|----------------------------|
| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA | |
| TOTAL | 22 | MINUS | * 22 | 0 | |
| FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | | | |
| | RATE | | ADDITIONAL FEE | | |
| X | \$ 9 | \$ | | | |
| X | \$43 | \$ | | | |
| + | \$145 | \$ | | | |
| OR | | | | | |
| | RATE | | ADDITIONAL FEE | | |
| X | \$18 | \$ | 0 | | |
| X | \$86 | \$ | 0 | | |
| + | \$290 | \$ | | | |

* not fewer than 20

** not fewer than 3

TOTAL = \$ 0

TOTAL = \$ 0

Please charge Deposit Account No. 08-0380 for the following fees:

[] Petition for [] month Extension of Time \$ _____
[] Amendment Fee \$ _____
[] Other Fees:
_____ \$ _____
_____ \$ _____

TOTAL: \$ 0

A check is enclosed in payment of the following fees:

[] Petition for [] month Extension of Time \$ _____
[] Amendment Fee \$ _____
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_____ \$ _____
_____ \$ _____
TOTAL: \$ 0

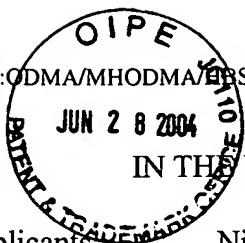
[X] A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

Concord, Massachusetts 01742-9133
Dated: 6/25/04



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SECOND PRELIMINARY AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Preliminary Amendment is being filed in response to the Office Action mailed from the U.S. Patent and Trademark Office on April 27, 2004 in the above-identified application. Reconsideration and further examination are requested.

Please amend the application as follows: